

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| DIEV DOCKET NO CONFIDMA    |  |
|----------------------------|--|
| RNET DOCKET NO.   CONFIRMA | TION NO.   |
| 200209576-1 87             | 40   |
| EXAMINER                   |  |
| TRAN, THANH Y              |  |
| ART UNIT PAPER N           | UMBER  |
| 2822                       |  |
| <u> </u>                   |  |
| MAIL DATE DELIVER          | Y MODE   |
| 04/17/2007 PAI             | PER  |
|                            | TRAN, THANH Y  ART UNIT PAPER N  2822  MAIL DATE DELIVER |

Please find below and/or attached an Office communication concerning this application or proceeding.

| <b>"</b> \$  | Application No.  | Applicant(s)  |                              |  |
|--|--|---|------------------------------|--|
| Advisory Action  | 10/769,127   | PING MEI  |                              |  |
| Before the Filing of an Appeal Brief   | Examiner   | Art Unit  |                              |  |
|  | Thanh Y. Tran  | 2822  |                              |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address  |  |   |                              |  |
| THE REPLY FILED 26 March 2007 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.  |  |   |                              |  |
| <ol> <li>The reply was filed after a final rejection, but prior to or o this application, applicant must timely file one of the folloplaces the application in condition for allowance; (2) a No. (3) a Request for Continued Examination (RCE) in comp following time periods:</li> </ol>   | n the same day as filing a Notice o<br>wing replies: (1) an amendment, a<br>otice of Appeal (with appeal fee) in | f Appeal. To avoid at<br>ffidavit, or other evide<br>compliance with 37 ( | ence, which<br>CFR 41.31; or |  |
| <ul> <li>a) The period for reply expires 3 months from the mailing date of the final rejection.</li> <li>b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.</li> </ul>   |  |   |                              |  |
| Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).   |  |   |                              |  |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |   |                              |  |
| NOTICE OF APPEAL  2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).   |  |   |                              |  |
| AMENDMENTS  3. The proposed amendment(s) filed after a final rejection,  | but prior to the date of filing a brie   | of will not be entered  | hecause                      |  |
| <ul> <li>(a) ☐ They raise new issues that would require further co</li> <li>(b) ☐ They raise the issue of new matter (see NOTE below</li> </ul>  | onsideration and/or search (see NC<br>ow);   | TE below);  |                              |  |
| (c) They are not deemed to place the application in be appeal; and/or  |  |   | the issues for               |  |
| (d) They present additional claims without canceling a NOTE: (See 37 CFR 1.116 and 41.33(a))   |  |   | •                            |  |
| 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  5. Applicant's reply has overcome the following rejection(s):   |  |   |                              |  |
| 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  |  |   |                              |  |
| 7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  The status of the claim(s) is (or will be) as follows:   |  |   |                              |  |
| Claim(s) allowed: Claim(s) objected to:  | ·  |   |                              |  |
| Claim(s) rejected: <u>1-10,13-20,22 and 23</u> .<br>Claim(s) withdrawn from consideration:   |  |   |                              |  |
| AFFIDAVIT OR OTHER EVIDENCE  8. ☐ The affidavit or other evidence filed after a final action, b  | yut hoforo or on the date of filing a  | Notice of Anneal will   | not he entered               |  |
| because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).  | nd sufficient reasons why the affida   | avit or other evidence  | is necessary                 |  |
| <ol> <li>The affidavit or other evidence filed after the date of filin entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessa</li> <li>The affidavit or other evidence is entered. An explanation</li> </ol>  | overcome <u>all</u> rejections under apporty<br>ry and was not earlier presented.                                | eal and/or appellant fa<br>See 37 CFR 41.33(d)                            | ails to provide a<br>(1).    |  |
| REQUEST FOR RECONSIDERATION/OTHER  | of the states of the stating area  | orally to botom or and  |                              |  |
| <ul> <li>11.  The request for reconsideration has been considered b See Continuation Sheet.</li> <li>12.  Note the attached Information Disclosure Statement(s)</li> <li>13.  Other:</li> </ul>  | . (PTO/SB/08) Paper No(s)  | Zandra V. Sm  | nith<br>Examiner             |  |
|  |  | 13 April 2  | 07                           |  |

Continuation of 11. does NOT place the application in condition for allowance because: Applicant arguments have been fully considered but they are not persuasive. For example, applicant argued that Taussig et al does not disclose the formation of 3D patterns in a substrate. In response, the examiner disagrees with applicant argument because figure 2(a) of Taussig et al clearly discloses a 3-dimensional (3D) pattern (212) is formed on the substrate (see Figure 2(a), and col. 3, lines 38-51).